Immobilization of the Ring Inversion Motion in Calix[6]arene by a Cap with C3-Symmetry

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A conformationally-immobilized calix[6]arene (2) was synthesized for the first time. The immobilization was attained by capping calix[6]aryl 1,3,5-tricarboxylic acid chloride with  $C_3$ -symmetrical triol. The  $^1H$  NMR spectral examination with 2D EXSY established that 2 is immobilized in a cone conformation. Compound 2 showed the high affinity for guanidinium ion because of rigidification of the conformation.

It has been established that the rotation of phenyl units in calix[4]arenes can be suppressed by introduction of O-substituents bulkier than the ethyl group.<sup>1)</sup> Thus, one can derive conformationally-immobilized four different conformers (cone, partial-cone, 1,2-alternate, and 1,3-alternate) and enjoy metal recognition by utilizing the ionophoric cavities provided from these conformers.<sup>2-4)</sup> In contrast, calix[6]arenes possess a cavity larger than calix[4]arenes and probably are more suitable to molecular recognition. However, the attempts to apply calix[6]arenes as hosts to specific molecular recognition<sup>5-7)</sup> have so far been unsuccessful because of their large conformational freedom. Gutsche et al.<sup>5)</sup> thus described reluctantly that "even the calix[6]arenes are rather flexible and further insight into their mode of action must await the construction of more rigid and conformationally-defined analogs".

In 1991 Casnati *et al.*<sup>8)</sup> reported compound **1a**. They proposed on the basis of <sup>1</sup>H NMR spectral examinations that "*1a* is the first example of calix[6]arenes frozen in the cone conformation".<sup>8)</sup> This proposal was incompatible with our observation that in calix[6]arene-37,38,39,40,41,42-hexol ring inversion can still take place even though very bulky *O*-substituents are introduced<sup>9)</sup>: that is, not only the oxygen-through-the-annulus rotation but also the para-substituent-through-the-annulus rotation can occur in calix[6]arenes. Our recent <sup>1</sup>H NMR spectral examinations (2D EXSY) established that **1a** is not truly immobilized but the rate of ring inversion is just slower than that of the NMR time-scale.<sup>10)</sup> Then, how can we immobilize the conformation of calix[6]arenes? We considered that the remaining and probably sole strategy is "capping". Here, we report the first example of conformationally-immobilized calix[6]arene **2**, which was synthesized by condensation of a C<sub>3</sub>-symmetrical calix[6]arene and a C<sub>3</sub>-symmetrical cap.<sup>11)</sup>

Compound 2 was synthesized from 1b according to Scheme 1. Examination of CPK molecular models predicted that if the distance between terminal OH groups in the cap is short, the carbonyl groups which are

essential for the guest-binding are enforced to turn outward. In tris(2-hydroxyethyl)isocyanurate (5) used as a cap, on the other hand, the distance is long enough to hold the carbonyl groups inward. The key step in the synthesis is the reaction of 4 and 5 under the high-dilution conditions. The esterification of 4 (0.21 mmol) and 5 (0.21 mmol) was carried out in THF (350 ml) at the reflux temperature in the presence of pyridine (0.99 mmol) under a nitrogen atmosphere. 2 was isolated by a preparative TLC method (silica gel, chloroform:methanol = 30:1 v/v) and identified by IR, mass and  $^{1}\text{H}$  NMR spectral evidence and elemental analysis  $^{12}$ : yield 2.5%,  $^{13}$  mp > 290 °C (decomp.).

Figure 1 shows the temperature-dependent <sup>1</sup>H NMR spectra of **2**. At 40 °C a pair of doublets appeared at 3.43 and 4.43 ppm, indicating that **2** adopts a cone conformation. The spectral pattern scarcely changed up to 110 °C. This implies that the rate of ring inversion, even if it occurs, is much slower than that of the NMR time-scale. In **1a** which showed the similar temperature-dependence, <sup>8</sup>) the rotation of the phenyl groups was evidenced by 2D EXSY in <sup>1</sup>H NMR spectroscopy: that is, the exchange between H<sub>ax</sub> and H<sub>eq</sub> in the ArCH<sub>2</sub>Ar

Scheme 1.

methylene protons was clearly observed. We thus applied the similar method to the present system (Brucker ARX-300, NOESYTP with Time Proportional Phase Increment: [2] = 8.4 mmol dm<sup>-3</sup>, Cl<sub>2</sub>CDCDCl<sub>2</sub>,  $\tau_m$  800 msec). At 40 - 110 °C the correlation arising from the exchange between  $H_{ax}$  and  $H_{eq}$  was not observed. The result unequivocally evidences that 2 is firmly immobilized in a cone conformation: that is, flip-flop-type inversion of the "basket" cannot take place.

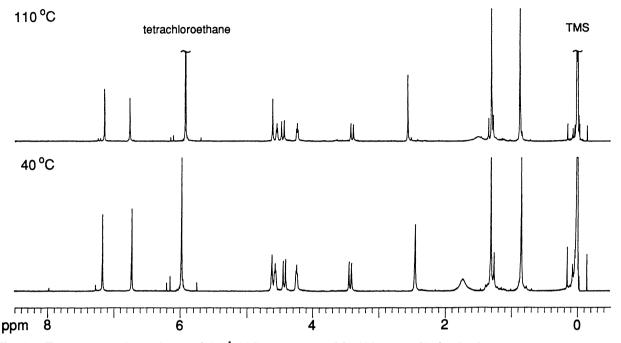


Fig. 1. Temperature-dependence of the <sup>1</sup>H NMR spectra of 2 (400 MHz, Cl<sub>2</sub>CDCDCl<sub>2</sub>).

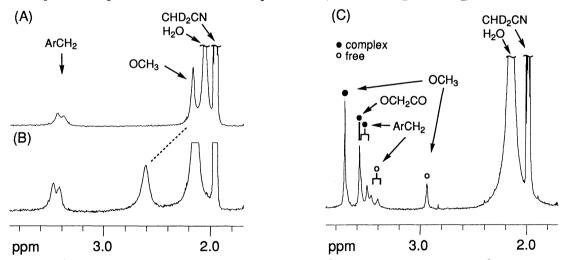


Fig. 2. Partial <sup>1</sup>H NMR spectra of (A) **1b** (2.8 mmol dm<sup>-3</sup>), (B) **1b** (2.8 mmol dm<sup>-3</sup>) + guanidinium tetraphenylborate (2.8 mmol dm<sup>-3</sup>), and (C) **2** (2.8 mmol dm<sup>-3</sup>) + guanidinium tetraphenylborate (2.8 mmol dm<sup>-3</sup>) (250 MHz, Cl<sub>2</sub>CDCDCl<sub>2</sub>:CD<sub>3</sub>CN = 4:1 v/v, 24 °C).

It is known that **1a** binds guanidinium ion because of the hole-size selectivity and the C<sub>3</sub>-symmetrical complementarity.<sup>8)</sup> We considered that the capped, C<sub>3</sub>-symmetrical cavity in **2** should bind guanidinium ion more strongly and via a kinetically slower process. Figure 2 shows the <sup>1</sup>H NMR spectra of **1b** and **2** in the

presence of 1.0 equivalent of guanidinium ion. Upon addition of guanidinium ion the  $^{1}$ H NMR spectrum of 2 separated into two components assignable to the complex and free 2 whereas that of 1b did not separate but only caused a change in the chemical shifts. The finding shows that the rates for the association and the dissociation in the complexation of 2 and guanidinium ion are much slower than those in 1b. The association constant (K) for 2 was directly estimated from the ratio of the integral intensities to be  $K = 2300 \text{ dm}^3 \text{ mol}^{-1}$ . On the other hand, the K for 1b was determined from a plot of  $\delta_H vs$ . [guanidinium ion] to be  $K = 880 \text{ dm}^3 \text{ mol}^{-1}$ . The K for 2 in which the functional groups for guest-binding are well-preorganized is larger by a factor of 3 than that for 1b.

In conclusion, the present paper demonstrates that capping of calix[6] arenes at the 1,3,5-positions is a potential strategy to suppress their conformational change. We believe that the door to molecular recognition by calix[6] arenes has been opened by 2, which is classified, as Gutsche said,<sup>5)</sup> as "a more rigid and conformationally-defined analog".<sup>14)</sup>

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- 2 was isolated as a complex with CHCl<sub>3</sub> which was used in the TLC purification process. IR(nujol)  $\nu_{c=0}$  1690 and 1770 cm<sup>-1</sup>; MS (positive SIMS in the presence of guanidinium chloride) m/e 1455 [M+C(NH<sub>2</sub>)<sub>3</sub>]+; <sup>1</sup>H NMR (250 MHz, CDCl<sub>3</sub>, 24 °C)  $\delta_{H}$  7.10 (s, 6H, ArH), 6.81 (s, 6H, ArH), 4.56 (t, 6H, CH<sub>2</sub>OCO), 4.49 (s, 6H, OCH<sub>2</sub>CO), 4.43 (d, 6H, J = 15.5 Hz, ArCH<sub>2</sub>Ar), 4.24 (t, 6H, NCH<sub>2</sub>), 3.46 (d, 6H, J = 15.5 Hz, ArCH<sub>2</sub>Ar), 2.69 (s, 9H, OCH<sub>3</sub>), 1.24 (s, 27H, C(CH<sub>3</sub>)<sub>3</sub>), 0.94 (s, 27H, C(CH<sub>3</sub>)<sub>3</sub>). Found: C, 67.89; H, 7.67; N, 2.84%. Calcd for C<sub>84</sub>H<sub>105</sub>O<sub>15</sub>N<sub>3</sub>•CHCl<sub>3</sub>: C, 67.34; H, 7.05; N, 2.77%.
- 13) The HPLC analysis of the raw product showed that the yield was ca. 10% but 2 decomposed on the silica gel plate during the isolation process.
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(Received March 9, 1994)